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WONTGOMERY COUNTY, MARYLAND

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PHASE I INSPECTION REPORT

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PHASE I INSPECTION REPORT

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Prepared for:

DEPARTMENT OF THE ARMY

Baltimore District, Corps of Engineers

Baltimore, Maryland 21203

Prepared by:

WATER RESOURCES ADMINISTRATION

Department of Natural Resources

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Annapolis, Maryland 21401

Date:

Aug 79

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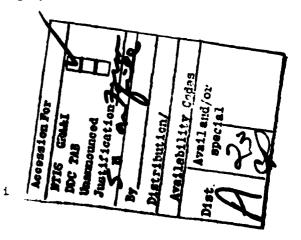
PREFACE

This report is prepared under guidance contained in the "Recommended Guidelines for Safety Inspection of Dams," for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigation and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam. removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected and only through continued care and maintenance can these conditions be prevented or corrected.

Phase I Inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established guidelines, the spillway design flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. The spillway design flood provides a measure of relative spillway capacity and serves as an aid in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.



PHASE I INSPECTION REPORT

NATIONAL DAM INSPECTION PROGRAM

NAME OF DAM:

Upper Rock Creek Watershed Site #1 (Lake Bernard Frank)

STATE:

Maryland

COUNTY:

Montgomery

STREAM:

Upper Rock Creek

DATE OF INSPECTION:

June 15, 1979

ASSESSMENT: Based on the evaluation of the conditions as they existed on the date of the inspection and as revealed by visual observations, the condition of the dam at Upper Rock Creek Site #1 (Lake Bernard Frank) is assessed to be good. This dam is an intermediate size class I structure.

The spillway capacity is classified as adequate because it will pass the recommended spillway design flood of full Probable Maximum Flood according to the recommended criteria.

The following remedial measures and recommendations should be implemented as soon as possible:

- 1. Re-establish the design normal pool by clearing debris from the ungated orifices within the cold water release chamber.
- 2. Re-establish the operating condition of all gated orifices within the cold water release chamber and principal spillway riser.
- 3. Document operating procedures in writing.
- 4. Develop a warning system to warn downstream residents of large spillway discharges during periods of heavy rainfall and runoff or failure of the dam.
- 5. Re-establish vegetation on the left side of the downstream face of the dam and on the berm separating the dam and emergency spillway.
- 6. Implement rodent control and refill existing burrows.

SUBMITTED BY: WATER RESOURCES ADMINISTRATION DAM SAFETY DIVISION

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A. C. Said

Date

APPROVED BY:

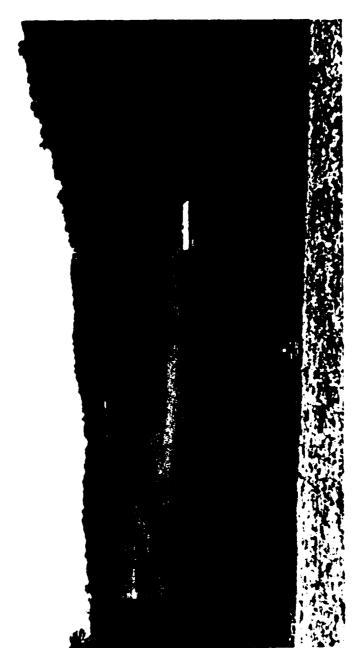
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James W. Peck

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Colonel, Corps of Engineers

District Engineer



UPPER ROCK CREEK WATERSHED SITE #1
LAKE FRANK
MD 00050

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APPENDIX B - Check List, Engineering Data, Design, Construction, Operation, Phase I

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PHASE I INSPECTION REPORT
NATIONAL DAM INSPECTION PROGRAM
UPPER ROCK CREEK WATERSHED SITE #1
(LAKE BERNARD FRANK)
NDI NO. MD 0050

SECTION 1 PROJECT INFORMATION

1.1. General

a. <u>Authority.</u> The inspection was performed pursuant to the authority granted by the National Dam Inspection Act, Public Law 92-367, to the Secretary of the Army, through the Corps of Engineers, to conduct inspections of dams throughout the United States.

<u>Purpose</u>. The purpose of this inspection is to determine if the dam constitutes a hazard to human life or property.

1.2 Description of Project

- Dam and Appurtenances. The dam at Upper Rock Creek Site #1, known locally as Lake Bernard Frank, consists of a compacted zoned earth fill embankment approximately 78 feet high and 576 feet long. A cutoff trench, 30 feet wide, extends to the weathered rock in the foundation, at the dam's longitudinal centerline. At the same location, a grout curtain was installed on the left floodplain and left abutment. The grassed slopes rise at 3H:1V upstream and downstream A 20 foot berm is located on the upstream slope one foot below normal pool at elevation 297.0. Rock riprap extends from elevation 297.0 to elevation 302.0. The principal spillway riser concrete control towers connects to a 42-inch concrete outlet pipe which discharges to an impact basin. These facilities discharge uncontrolled normal flows up to the calculated 100 year frequency flood event and cold water releases through three gated inlets. Flood flows exceeding the calculated 100 year flood levels may be discharged through a trapezoidal grassed emergency spillway located beyond the left abutment. The emergency spillway crest is 36.5 feet above the normal pool elevation and 13.4 feet below the top of dam elevation.
- b. <u>Location</u>. Lake Frank is located approximately 2 miles northeast of Rockville in Montgomery County, Maryland. The structure impounds Rock Creek, eventually flowing through Washington, D.C. to the Potomac River.
- c. <u>Size Classification</u>. The maximum height of the dam is 78 feet. The reservoir volume to the top of the dam at elevation 347.9 is 7854 acre-feet. Therefore, the dam is in the "intermediate" size category.
- d. <u>Hazard Classification</u>. Damage to downstream roads, intensely used recreational areas, and loss of more than a few lives would likely result from a failure of the dam. Accordingly, the dam is classified in the high hazard category.

- e. Ownership. Lake Frank is owned by the Maryland National Capital Park and Planning Commission, 6700 Needwood Road, Derwood, Maryland.
- f. <u>Purpose of Dam</u>. The dam provides the multiple purposes of flood control and recreation.
- g. <u>Design and Construction History</u>. The structure was designed by the Soil Conservation Service, Engineering and Watershed Planning Unit, Upper Darby Pennsylvania, in 1965. Construction was accomplished by Dewey Jordan, Inc. of Frederick, Maryland and directed by the Soil Conservation Service. Construction began on December 9, 1965, and was completed on May 26, 1967.
- h. <u>Normal Operating Procedure</u>. The dam operates as an uncontrolled structure. Normally, the pool level is maintained at elevation 298.0 by passage of base flows into the riser tower through the twin ungated orifices.

1.3 Pertinent Data

- a. <u>Drainage Area</u>. Lake Bernard Frank has a drainage area of 12.23 square miles.
- b. <u>Discharge at Dam Site</u>. The maximum discharge at the dam site through the emergency spillway at elevation 334.5 is 24,317 cubic feet/sec. The maximum flood discharge at the dam site is unknown. However, Hurricane Agnes in June, 1972 caused a rise in pool elevation on to the approach slope of the emergency spillway approximately 35 feet above the normal pool.

c. Elevation (feet above mean sea level)

Top of Dam	347.9
Design High Water	338.7
Emergency Spillway Crest	334.5
Principal Spillway Riser Crest	314.5
Normal Pool	298.0
Streambed at Centerline of Dam	270.2

d. Reservoir (Miles)

Length	of	maximum pool	1.74
Length	οf	normal pool	1.06

e. Storage (acre feet)

Normal pool	785
Principal Spillway Riser Crest	1980
Emergency Spillway Crest	4679
Design High Water	3344
Top of Dam	7854

f. Reservoir Surface (acres)

Top of dam 219 Design High Water 217 Emergency Spillway Crest 189 Principal Spillway Riser Crest 92 Normal Pool 56

g. Dam

Type Earthfill Length (feet) 576 78 Height (feet) 22 Top width (feet) 3H:1V, 20 ft berm one ft.below Side slopes - Upstream normal pool

3H:1V - Downstream

Impervious Core Zoned construction Cutoff trench Compacted earthfill at dam

centerline 30 ft. bottom width, lH: IV side slopes. 20 ft. maximum vertical

depth

Foundation Seepage Control Twin grout curtains. 5 ft.

up and downstream of dam

centerline

Diversion and Regulating Tunnel

None

i. Emergency Spillway

Trapezoidal, grassed, cut Type into natural earth beyond left abutment Bottom width at control section 150 feet Crest elevation (feet above

334.5 M.S.L.) None Gates

Approach Slope (%) 2.5 Exit slope (%) Total Length (ft) 656

Downstream channel Spillway discharges

perpendicular to dam axis

to Rock Creek

j. Principal Spillway

Type Reinforced concrete riser and 42 inch diameter R.C. outlet pipe

Riser height 49.5 ft. 314.5

Riser crest elevation (MSL) Riser dimensions

Inside 3.5 x 10.5 ft. 5.5 x 12.5 ft Outside

3

Length of Weir at elevation 314.5 2 9 10.5 ft.

Length of connecting 42 inch pipe Approximately 460 ft.

k. Regulating Outlets

Gated 3-24-in. dia. Rodney Hunt 280 Series Sluice Gates

3 elev. 290.33

for cold water release

3 elev. 281.42

for cold water release

delev. 273.00
for drain

1-Shop fabricated Sluice 2×3.5 ft.

3 elev. 272.50

for cold water release

Ungated 2-2 x 3 ft. openings

Helev. 299.25 for normal release

SECTION 2

ENGINEERING DATA

2.1 Design:

a. Data Available: The dam at Upper Rock Creek Watershed Site No. 1, Lake Bernard Frank Dam, was designed by the Soil Conservation Service, Engineering and Planning Unit, Upper Darby, Pennsylvania in 1965. The engineering data reviewed for this project consists of an Engineer's Design Report, construction and material specifications, as-built drawings dated January 1965, February 1965, and May 1966, an Engineer's Report on Construction and Test Results for Upper Rock Creek Site No. 1, and Annual Operation and Maintenance Inspection Reports. A portion of the drawings are presented in Appendix "C", Location Map and Plans. The design report contains hydrologic and hydraulic data, a geology report, laboratory soil test results including consolidation and consolidated undrained triaxial tests for representative soil samples, slope stability studies, settlement analyses, estimates of seepage quantities through the foundation, structural analyses of appurtenant structures, and material quantity estimates. Logs of subsurface explorations including rock cores and water pressure tests are contained in the design drawings.

b. Design Features

- 1. Hydrology and Hydraulics The top of dam and the configuration of the outlet works were designed in accordance with Soil Conservation Service criteria for a Class "C" structure which corresponds to a high hazard dam as defined by the Phase I inspection guidelines. A complete discussion of the hydrologic and hydraulic design is contained in Section 5.
- Embankment The design drawings and specifications indicate the embankment to be zoned earth fill rolled to a minimum density of 95 per cent of the maximum dry density attained in accordance with the Standard Proctor Test (ASTM Standard D-698). The embankment is placed upon a foundation of medium dense to dense residual soil on the abutments and alluvial soil in the stream valley which were prepared by clearing and grubbing operations. Based upon recommendations in the geology report, the design drawings provide for a grout curtain cutoff on the left side of the dam. A cutoff trench of impervious soil extends several feet into weathered rock with variable side slopes and bottom widths which vary from 20 feet to 30 feet. The cutoff trench is continuous with an impervious zone in the embankment which extends from the base of the dam to elevation 33 45 corresponding to the crest of the emergency spillway. The centerline of the impervious core is slightly downstream of, and parallel to, the centerline of the dam. Both the trench and impervious zone were to be constructed of residual low plasticity silt excavated from the floor of the emergency spillway and nearby supplemental borrow areas.

The main portion of the embankment consists of silty sand placed at a 3H to 1V configuration for both the upstream and downstream slopes. A berm, 20 feet in width, was constructed one foot below the normal pool elevation of 298.0. Riprap slope protection extends from the berm to a level 5 feet above the berm.

Internal drainage of the embankment is provided by filter trenches draining to a rock fill toe on the downstream side of the dam. The filter trenches are situated along the left and right downstream abutments, extend vertically from the stripped groundline to a level about 3 feet below the weathered rock horizon, and drain into the rock fill of the downstream toe. The trenches are constructed of well graded sand and gravel designed in accordance with methods recommended by the U.S.D.I., Bureau of Reclamation. The rock fill at the downstream toe is surrounded by a graded filter to provide free drainage of the internal seepage control system without migration of fines from the embankment and foundation. A 36-inch interceptor sewer constructed just prior to construction of the dam passes through the foundation near the left abutment. The design report contains working drawings showing special treatment of the sewer line consisting of two anti-seep collars and compacted impervious backfill in the pipe trench. No records documenting compliance with the details of the design report were found during the data review.

3. Appurtenant Structures - An overflow spillway riser of reinforced concrete is located on the left side of the dam and is drained by a 42-inch reinforced concrete pipe extending through the embankment to a reinforced concrete impact plunge pool. The riser structure is supported on a concrete pad with the foundation level to be determined during construction by the Engineer.

The spillway pipe is supported along most of its length by a reinforced concrete cradle and is surrounded by fourteen concrete antiseep collars, 14 feet wide by 9 feet high minimum, placed at intervals of 24 feet. The spillway pipe trench is over-excavated to a level close to the top of weathered rock and backfilled with impervious material to the pipe foundation level.

The riser tower contains a gate controlled drain with an intake invert at elevation 273.0, two 24-inch diameter gate controlled cold water intakes at elevations 281.4 and 290.3, two low stage orifices at elevation 299.2, and two high stage weirs with crest elevations at 314.5. The low stage and high stage intakes are provided with trash racks.

The emergency spillway is located beyond the left abutment of the dam and is formed by cut into weathered schist material. The emergency spillway floor is 150 feet in width, rises at a grade of 1% for a length of 189 feet, reaches a 30 foot wide level crest at elevation 334.5, and drops at a grade of 2.5% for 506 feet. The side slopes of the emergency spillway are constructed at 2 1/2H to 1V in soil on the right side and 1H to 1V in rock on the left side.

2.2 <u>Construction</u>: Construction Specifications, as-built drawings, and an "Engineer's Report on Construction and Test Results" are the available construction documents. The as-built drawings generally reflect the intent of the design report, design drawings and existing conditions. The as-built drawings and the construction report indicate the details of the grouting program for the foundation on the left side of the dam including the spacing, depth, and grout quantities for each grout hole. The results of concrete strength tests and in-place density tests on the embankment indicate that the requirements of the construction specifications were attained.

The construction documents refer to a modification of the internal drainage system whereby the top elevation of the rock fill and the pervious zone on the downstream side of the dam were raised. Also, the gradation of the rock fill was finer than anticipated in the design due to disaggregation during handling and placement. Several permeability and mechanical analyses tests were performed on the in-place rock fill during construction to verify the adequacy of the specified filter material and the efficiency of the rock fill drain. The specified filter material was found to be adequate, but the efficiency of the rock fill toe drain was judged to be marginal and a supplemental drain was installed along the right side of the dam at the downstream toe. The supplemental drain consists of a 12-inch diameter perforated corrugated metal pipe which is surrounded by filter material and drains to the impact basin.

Special treatment of foundations for the concrete spillway riser and outlet impact basin were not found in the construction documents. Apparently, these structures were placed upon compacted fill as shown on the design drawings.

2.3 Operation. Lake Bernard Frank Dam was designed primarily as a self operating flood control structure with uncontrolled outlet works. Secondary benefits are to be derived from water recreation activities for Rock Creek Park operated by the Maryland National Capital Park and Planning Commission. Reports entitled "Annual Operation and Maintenance Inspection" are prepared by the Montgomery County Soil Conservation District and the owner on a regular basis. The only operational features on the dam are cold water intake gates on the spillway riser for downstream water quality. A cable controlled slide gate drain is also located at the base of the riser. At the time of inspection the cable was severed and the gate was inoperable.

2.4 Evaluation

- a. Availability. The Design Report, design drawings, construction specifications, as-built drawings and the Engineer's report on construction are available in the files of the State of Maryland Water Resources Administration, Dam Safety Division and the Soil Conservation Service.
- b. Adequacy. The available data is complete and adequate to evaluate the dam and appurtenant structure for the purposes of a Phase I study. Based upon review of this data the facility generally has been

designed in accordance with accepted engineering practice.

- c. Operating Records. The only written operating records are the Annual Operation and Maintenance Inspection reports prepared by the local Soil Conservation District and the owner.
- d. $\underline{\text{Post Construction Changes}}$. There are no major post construction changes.

SECTION 3 VISUAL INSPECTION

3.1 Findings.

a. General. The dam and its appurtenant structures were found to be in good overall condition at the time of the inspection, June 15, 1979. The complete visual inspection check list is presented in Appendix A.

b. Dam.

- There is no cracking, sloughing or other appreciable movement in the embankment.
- The vertical and horizontal alignment are good with no evidence of additional settlement beyond that provided by the as-built camber.
- At the time of the inspection, there were no noticeable seepage areas.
- There were several animal burrows located on both the upstream and downstream slopes.
- There were vehicle tracks on the downstream face of the dam and beyond the left abutment leading to the emergency spillway.
- 6. A small slump exists at the top of the emergency spillway backslope (leftside) slightly upstream of the control section.

c. Appurtenant Structures.

- 1. The concrete associated with the impact basin, and visible portions of the intake tower were in good condition.
- 2. Beyond the impact basin, the outfall channel bottom has a slight accumulation of riprap.
- 3. Partially clogged orifices, a broken cable operating a drain gate, and two inoperable of three gated inlets are resulting in a pool elevation approximately 8.5 feet above normal. On March 9, 1979 an photograph was taken that revealed the pool level to be approximately 16 feet above normal.
- d. Reservoir Area. The reservoir slopes are primarily wooded. There is slight erosion around the present pool level due to foot traffic. Sedimentation is reported by the owner in the upper reaches of the pool area.
- e. <u>Downstream Channel</u>. The discharges from the principal spillway and emergency spillway during rare flood events flow into Rock Creek via separate channels. In the immediate vicinity of the dam, Rock Creek flows beneath the Maryland Route 28 bridge and through parkland owned and operated by the Maryland National Capital Park and Planning

Commission. The present demand of these lands as a recreational facility is reported to be 500,000 visitors annually.

3.2 Evaluation.

- a. Dam. The animal burrows and vehicle tracks if unattended could lead to more serious erosion, but, at this time, do not affect the dam's stability or flood discharge capacity. The small slump on the emergency spillway backslope should be periodically checked, but at this time is believed to be surface sloughing based upon visual observation. Based upon the rock fragments and schist elevation encountered during the subsurface exploration in the area, any adverse effect of a potential blockage within the emergency spillway is judged to be minimal.
- b. Appurtenant Structures. The deficiencies associated with the intake tower are causing higher than normal pool levels. Besides hampering visual inspection and routine maintenance capabilities, the designed freeboard is presently adversely affected since the present pool exceeds the S.C.S. 10 day drawdown level starting from which the Freeboard Hydrograph was applied. These considerations dictate that the deficiencies enumerated in 3.1c.3 above be rectified as soon as possible.

SECTION 4 OPERATIONAL PROCEDURES

- 4.1 Procedure. The purpose of the dam at Upper Rock Creek Site #1 is to provide for recreation and flood control. Discharges to the downstream areas are uncontrolled through the intake tower and thence through the 42 inch concrete outlet pipe. Additionally, three different levels of controlled cold water releases may be accomplished.
- 4.2 Maintenance of the Dam. No written maintenance program has been established, but the general appearance of the dam indicates a high degree of care. The Maryland National Capital Park and Planning Commission is responsible for maintaining the dam. It should be noted that the owner has, in the past, requested, recieved and followed advice of the Soil Conservation Service.
- 4.3 <u>Maintenance of Operating Facilities</u>. Reportedly, the inoperative conditions at the intake tower have spanned the last year. A written operating and maintenance policy should preclude, in the future, a similar condition.
- 4.4 Warning System. There is no formal warning system in effect.
- 4.5 <u>Evaluation</u>. The general operational procedures are satisfactory except that no formal warning system is in effect and maintenance procedures are unwritten.

SECTION 5 HYDRAULICS AND HYDROLOGY

5.1 Evaluation of Features.

- Design Data. The dam at Upper Rock Creek Watershed Site #1 was designed for recreational and flood control purposes. The complete hydraulic design, satisfying the Soil Conservation Service's class "C" criteria, is included as Section III of the Engineer's Design Report dated March, 1965. The development of the inflow design flood under class "C" criteria closely approximates the recommended Spillwav Design Flood of the full Probable Maximum Flood (PMF). According to the Design Report, the crest of the principal spillway riser is at the elevation attained by a 10 year frequency flood event. The crest of the emergency spillwav was set at the elevation attained by the 100 year frequency flood event. Design High Water was then calculated by routing a flood hydrograph having 8.6 watershed inches of runoff volume but starting at a pool elevation approximately 6 feet above normal pool to account for possible multiple storm events. The top of the embankment was set by routing a flood hydrograph having 24.7 watershed inches of runoff volume with the same assumption of starting elevation.
- b. Experience Data. As previously stated, the dam at Upper Rock Creek Watershed Site #1 is classified as an intermediate size dam in the high hazard category. Under the recommended criteria for evaluating spillway discharge capacity, such structures are required to pass the Probable Maximum Flood (PMF). Since the dam was constructed, the maximum pool elevation was attained during Hurricane Agnes in 1972 and reportedly reached within 1 foot of the emergency spillway crest. According to the Design Report, the storm of record (August, 1933) would have reached pool elevation 336.6, or approximately 2 feet above the emergency spillway crest. No written or verbal records indicate that the emergency spillway has been activated.
- c. <u>Visual Observations</u>. On the date of the inspection no conditions were observed that would indicate that the emergency spillway of the dam could not operate satisfactorily in the event of a flood. However, the inoperative conditions at the intake tower increase the probability of flows through the emergency spillway.
- d. Overtopping Potential. To check the Freeboard Hydrograph procedure as applied to the dam, the full PMF inflow hydrograph was routed through Lake Frank according to the recommended guidelines. The results are presented in Appendix E. The analyses indicate that the full PMF can be discharged without overtopping the embankment.
- e. <u>Spillway Adequacy</u>. The Design Report together with the current results indicate that the reservoir storage and spillway capacity can discharge the full PMF. Accordingly, the spillway is considered adequate.

f. <u>Downstream Conditions</u>. As previously discussed in Section 3, damages, as a result of dam failure, to Rock Creek Park, and State roads are considered likely. Due to the heavy recreational use, loss of life is probable.

SECTION 6 STRUCTURAL STABILITY

6.1 Evaluation of Structural Stability

a. <u>Visual Observations</u>. No visible signs of appreciable movement or distress were detected in the earthen embankment or appurtenant structures. No seepage points or wet zones were detected on the downstream face of the dam, at the toe or beyond the toe. The water in the impact basin was clear and no indication of loss of embankment or internal drain filter material was detected. The vegetative cover of the emergency spillway was well established and the side slopes generally uniform and stable with the exception of a small localized slide on the left side. Other than debris clogged intakes and the inoperative drain gate, visual observations revealed the dam and appurtenant structures to be in good condition.

b. Design and Construction Data.

1. Embankment. Based upon the subsurface data, the embankment was placed on dense to medium dense residual soil which appears competent to support the design load. Consolidation tests were performed on a portion of the least dense silt sample obtained during the foundation exploration and a representative embankment sample from the borrow area. A settlement analysis utilizing the test results indicated that approximately .7 feet of foundation settlement and 2 feet of embankment settlement were anticipated in the design. A clay sample within the foundation soil was subjected to triaxial testing and the strength parameters of Ø equal to 25.5° and cohesion equal to 325 p.s.f. were utilized in slope stability analyses.

Representative samples of compacted embankment material were also subjected to triaxial testing and average strength values of \emptyset equal to 27.5° , 32.0° , and 33.5° , and C equal to 300, 325, and 175 p.s.f. were recommended for use in slope stability studies for embankment zones 1, 2, and 3, respectively. These values are considered reasonable for effective stress analysis of the clayey silts, silts and silty sands utilized for the embankment construction. Slope stability analyses were performed for the upstream slope utilizing the Swedish Circle method with the slide arc assumed to occur at various positions. Fifteen trials were performed for two upstream berm widths and the lowest factor of safety computed was 1.31 which was derived for a berm 12 feet in width. Based upon this analysis, the berm width was increased to 20 feet. The downstream slope was also analyzed by the Swedish Circle method which yielded a minimum factor of safety of 1.69 assuming the slide to occur through the foundation materials. Based upon the design review, these analyses are considered to have adequately addressed the static slope stability of the dam.

In order to compensate for residual settlement of the embankment and foundation materials upon completion of the dam, 2.7 feet of fill was added to the design crest at the maximum section. The additional height was determined by a settlement analysis utilizing the results of the

consolidation tests on the foundation and embankment materials. The analysis was apparently accurate since the dam crest appears level and uniform.

Design data for the internal seepage control system was limited to the details on the as-built drawings of the filter drain trenches, cutoff trench, and grouting program along the left side of the dam. Filter design was based upon the sandy weathered rock material and less weathered rock fill material which was anticipated by the designers to be excavated in the emergency spillway and placed as a pervious zone in the downstream slope. The filter design appears adequate. Although flow nets, derivation of exit gradients, seepage quantity estimates after partial grouting, and filter trench drainage capacities were not addressed in the design report, the grouting program and internal drainage system visually appear to be functioning satisfactorily.

2. Appurtenant Structures. Based upon the data in the design report, the appurtenant structures were designed in accordance with good engineering practice. The reinforced concrete elements were analysed for maximum loading conditions by the working stress method utilizing 3000 p.s.i. concrete and a steel stress of 20,000 k.s.i. The riser structure was designed utilizing concrete strengths of 3750 p.s.i. The foundation for the riser apparently consists of compacted fill. Based upon the structural computations, loads as high as 5100 p.s.f. are present at the foundation level. This load appears somewhat high for ordinary compacted fill, but the good visual condition of the structure suggests that sufficient soil strength has been mobilized to support the loading conditions to date.

c. Operating Procedures

Detailed operating procedures are unwritten and were unavailable for review. The Annual Operation and Maintenance Inspection sheets were reviewed and they did not reveal any major deficiencies which might affect the integrity of the dam.

d. Post Construction Changes

The only post construction change consists of loss of vegetative cover on the downstream slope at the left side of the dam due to recreation vehicle traffic. This cover should be reestablished before erosion takes place.

e. Seismic Stability

Lake Bernard Frank Dam is located in seismic zone 1 and seismic stability is predicated upon static stability with conventional margins of safety. The static stability is considered sufficient to withstand minor earthquake induced forces.

SECTION 7 ASSESSMENT, REMEDIAL MEASURES AND RECOMMENDATIONS

7.1 Dam Assessment.

- a. Safety. Based from visual insjection and review of design and construction documents, the dam at Opter Rock Creek Watershed Site #1 appears to presently be in Local mattin. The higher than normal pool elevation, as discussed in Sections 1. 1, and b. presents no immediate safety hazard but this condition as ... be rectified as soon as possible.
- b. Adequation of roots in The available information consists of as-built instruction (4-104), number's Design Report and Engineer's Construction Report in the south of the available information is adequate to assess the low to
- c. $\underline{\text{orgency}}$. Le resummentations socials be implemented as soon as possible.
- d. <u>Necessity for Additional Studies</u>. No additional engineering studies are necessary at this time. As remedial measures are developed to correct the deficiencies at the intake tower, engineering assistance may be necessary in attempting to prevent a reoccurrence.

7.2 Remedial Measures and Recommendations.

a. Dam and Appurtenant Structures

- 1. Re-establish the designed normal pool by clearing debris from the ungated orifices within the cold water release chamber.
- 2. Re-establish the operating condition of all gated orifices within the cold water release chamber and principal spillway riser.

b. Operation and Maintenance Procedures

- 1. Document operating procedures in writing.
- 2. Develop a warning system to warn downstream residents of large spillway discharges during periods of heavy rainfall and runoff or failure of the dam.
- 3. Re-establish vegetation on left side of downstream face of dam and on the berm separating the dam and emergency spillway.
- 4. Implement rodent control and refill existing burrows.

APPENDIX A

CHECK LIST - VISUAL INSPECTION, SITE SKETCH, PHASE I

Check List Visual Inspection

Phase 1	Name of Dam Upper Rock Creek Watershed Site #1 ID # MD 00050	Common Name of Dam / Lake Bernard Frank	County Montgomery State Maryland	Type of Dam Earth Hazard Category 1	Date(s) of Inspection 6/15/79 Weather Clear / Sunny Temperature 850 F	Pool Elevation at Time of Inspection 306.5 MSL Tailwater 272.0 MSL	Normal Pool Elevation 298.0 MSL	Inspection Personnel:	Water Resources Administration M.N.C.P.P.C. U.S.D.AS.C.S. Montg.S.C.D.	J. O. Smith Jerry Bush Richard Nagel Bob Rakestraw	r. J. Moynahan John M. Bower (Mac) Larry Herrington	J. M. Wagner	R. T. Folkman
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I. J. Arthurs

Recorder T.J. Moynahan

VISUAL INSPECTION PHASE I EMBANKMENT

VISUAL EXAMINATION OF	OBSERVATIONS AND REMARKS/RECOMMENDATIONS
SURFACE CRACKS	NONE
UNUSUAL MOVEMENT OR CRACKING AT OR BEYOND THE TOE	NONE
SLOUGHING OR EROSION OF EMBANKMENT AND ABUTMENT SLOPES	Generally good condition, recreational vehicle traffic has worn a path on the downstream face of the dam near the left abutment, no erosion.
VERTICAL AND HORIZONTAL ALIGNMENT OF THE CREST	Uniform and stable.
RIPRAP FAILURES	Rip-rap submerged and non-observable.
A-2	

4>

VISUAL INSPECTION PHASE I EMBANKMENT

VISUAL EXAMINATION OF	OBSERVATIONS AND REMARKS/RECOMMENDATIONS
JUNCTION OF EMBANKMENT AND ABUTMENT, SPILLWAY AND DAM	Well vegetated - stable
ANY NOTICEABLE SEEPAGE	NONE
STAFF GAGE AND RECORDER	NONE
DRAINS	Internal drain outfalls are clear of debris,floc,etc. Flow in the right drain is approximately 1-2 gpm. Flow in the left drain is approximately 10-15 gpm. All seepage is clear
A_3	

VISUAL INSPECTION PHASE I OUTLET WORKS

VISUAL EXAMINATION OF CRACKING AND SPALLING OF CONCRETE SURFACES IN OUTLET CONDUIT	Observations and Remarks/Recomendations Outlet conduit surrounded by concrete outlet/plunge pool structure. The exposed portion of the conduit is in good condition.
INTAKE STRUCTURE	The concrete riser and metal appurtenances are in good condition. The drain and two gated intakes are inoperative. Both normal pool intakes (ungated) are clogged with debris resulting in pool elevations approximately 8.5 feet above normal pool. One other gated inlet is operable.
OUTI,ET STRUCTURE	The concrete retaining walls of the outlet/plunge pool structure are in good condition.
OUTLET CHANNEL	Outlet channel is lined with rip-rap, natural boulders, and vegetation. The channel is stable. Rip-rap accumulated at the end of the plunge pool has raised the pool level to the bottom of the drain pipe. This rip-rap accumulation should be removed to lower the pool level.
EMERGENCY GATE (DRAIN) P	The emergency gate cable is broken.

VISUAL INSPECTION
PHASE I
EMERGENCY UNGATED SPILLWAY

VISUAL EXAMINATION OF	OBSERVATIONS AND REMARKS/RECOMMENDATIONS
CONCRETE WEIR	
APPROACH CHANNEL	Short grass and clear.
DISCHARGE CHANNEL	Short grass and clear.
BRIDGE AND PIERS	N/A
A-5	

VISUAL INSPECTION PHASE I INSTRUMENTATION

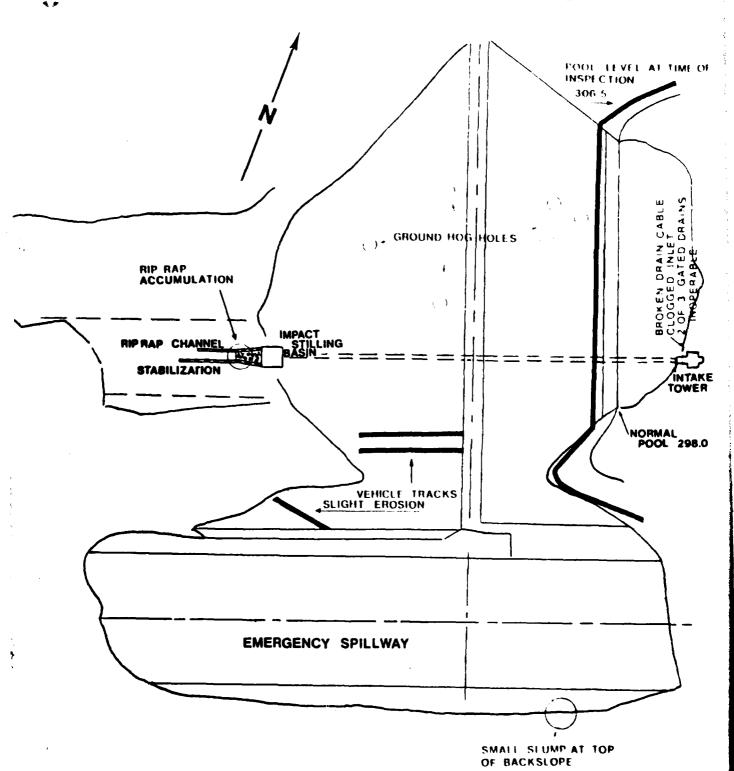
VISUAL EXAMINATION OF MONUMENTATION/SURVEYS	OBSERVATIC	OBSERVATIONS AND REMARKS/RECOMMENDATIONS
OBSERVATION WELLS	NONE	
WEIRS	NONE	
PIEZOMETERS	NONE	
A-6		

VISUAL INSPECTION PHASE I RESERVOIR

VISUAL EXAMINATION OF	OBSERVATIONS AND REMARKS/RECOMMENDATIONS
SLOPES	Reservoir slopes are primarily wooded with some meadow land. Slight erosion exists around the present pool edge due to foot traffic.
SEDIMENTATION	Sedimentation is reported in the headwaters of the pool.
A-7	

VISUAL INSPECTION PHASE I DOWNSTREAM CHANNEL

VISUAL EXAMINATION OF	OBSERVATIONS AND REMARKS/RECOMMENDATIONS
CONDITION (OBSTRUCTIONS, DEBRIS, ETC.)	Downstream channel is clear of obstructions. Heavy woods may result in an occassional downed tree in the channel. A USGS stream guage is located 500 feet beyond the downstream toe.
SLOPES	Heavliy wooded and stable.
APPROXIMATE NUMBER OF HOMES AND POPULATION	There is heavy recreational use of Rock Creek Park downstream. Md. Rte. 28 is downstream. No homes are apparent in the immediate danger reach.
	Wholly owned on land belonging to M.N.C.P.P.C.
A-8	



APPENDIX B

CHECK LIST - ENGINEERING DATA, DESIGN, CONSTRUCTION, OPERATION,

PHASE I

4 .

DAM NAME: Upper Rock Creek Site #1
COMMON NAME: Lake Bernard Frank
ID #: MD 00050

CHECK LIST HYDROLOGIC AND HYDRAULIC ENGINEERING DATA

DRAINAGE AREA CHARACTERISTICS: <u>Wooded str</u> home development. <u>SCS design Runoff Curv</u>	eam valleys, farming and new
Tome according to	
ELEVATION TOP OF NORMAL POOL(STORAGE CAPA	CITY): 298.0 (785)
ELEV. TOP OF FLOOD CONTROL POOL(STORAGE C	APACITY): 334.5(4679)
ELEVATION MAXIMUM DESIGN POOL: 338.7(5	544)
ELEVATION TOP OF DAM: 347.9	
CREST-Principal Spillway Riser	
a. Elevation 314.5(crest) 317.0	(top slab)
b. Type Reinforced Concrete Riser	
c. Width Two 10.5 ft. long crests	
d. Number and Type of Gates Three 24	" diameter Rodney Hunt 280
Series Sluice Gates, one at 290.33,	one at 281,42, both for cold
water release: one at 273.0 for drai	n. One 2 ft. x 3.5 ft. wide
sluice gate at elev. 272.5 for drain	•
sluice gate at elev. 272.5 for drain e. Ungated Orifice Twin 2 ft. x 3 f	t. wide openings at elev. 298.0
for normal releases.	
CREST- Emergency Spillway (Earth Cut)	
a. Elevation 334.5	
b. Width 150 feet	
c. Length 656 feet	
d. Location beyond left abutment	
OUTLET WORKS:	
a. Type 42" R.C.Pipe	
b. Location station 4+47 (left of	center of dam)
c. Entrance Inverts 2/2.5	
e. Emergency Drawdown Facilities	
f. Length 458 feet	
HYDROMETEOROLOGICAL GAGES:	
<u>Daily</u>	Hourly
a. Type NOAA-NWS	NOAA-NWS
b. Location Rockville 3 NE	College Park
c. Records 32 vrs. of record	93 vrs. of record

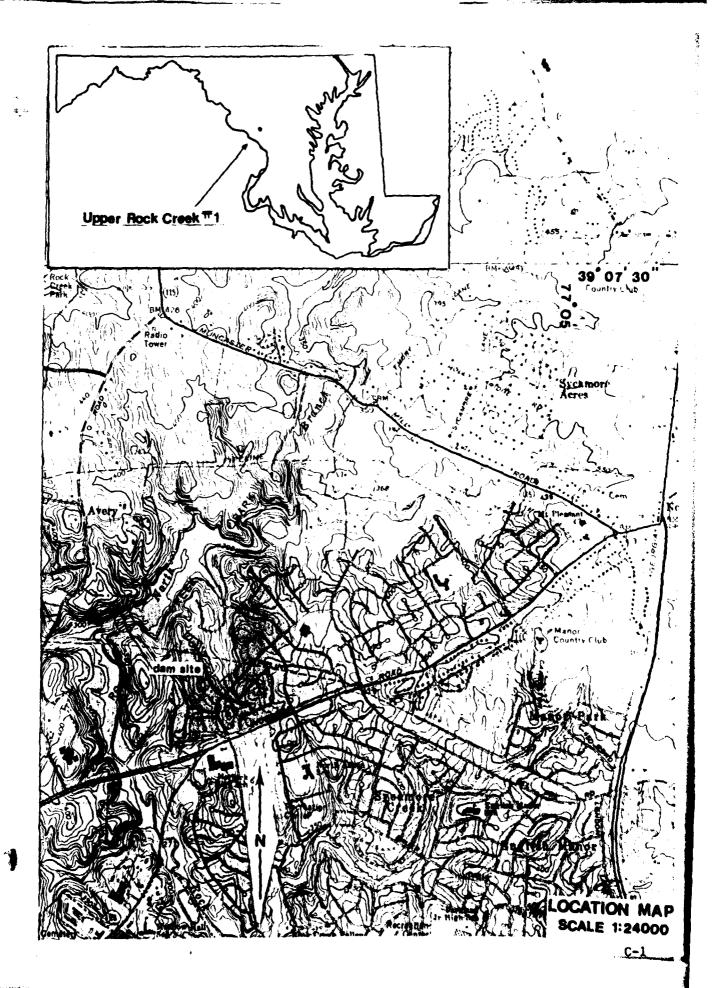
	PEMARKS	
SPILLWAY PLAN	EMERGENCY Refer to plan sheet 3 for layout	PRINCIPAL Refer to plan sheet 3 for layout
SECTIONS	Refer to plan sheet 7 for profile	Refer to plan sheet 8 for profile
DETAILS	N/A	Refer to plan sheet 9,10,11,12, for structural details.
OPERATING FQUIPMENT PLANS & DETAILS		Refer to plan sheet 9 for gate location & nomenclature.

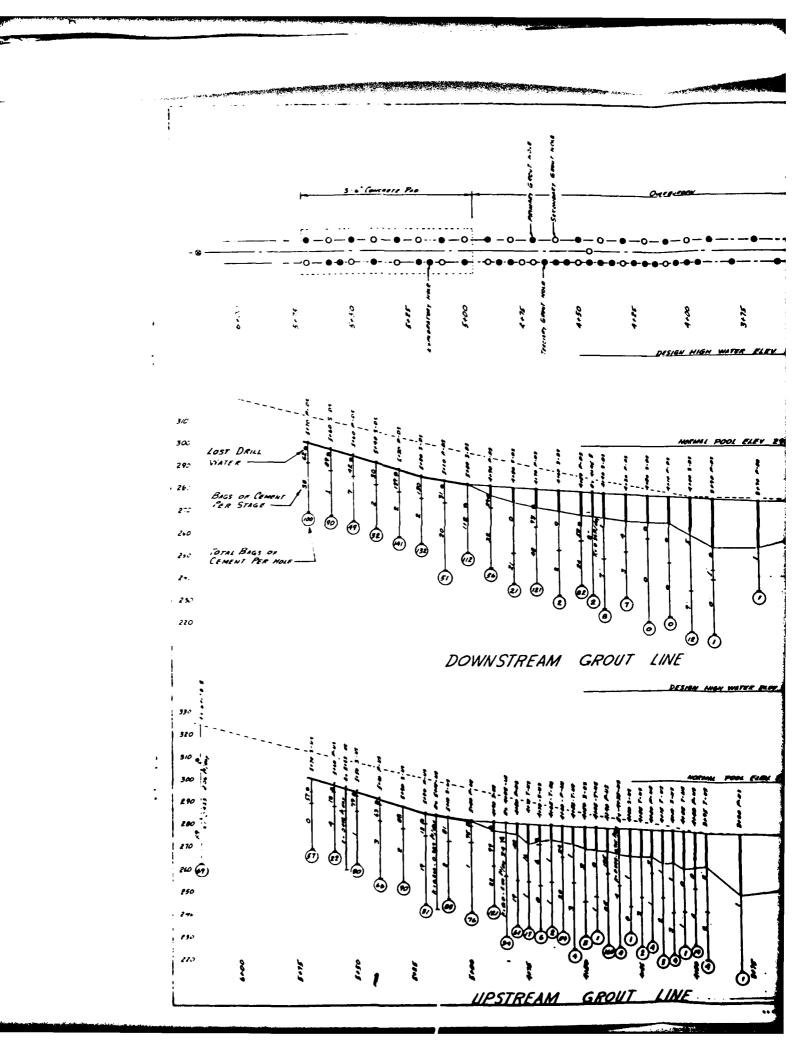
REMARKS	None installed	l-Winch operated slide gate for bottom orifice of cold water mixing chamber designed by College Park office of SCS, plan sheet dated 8/16/67.	Not recorded	Annual Operation & Maintenance Inspection Report by SCS dated 12/2/76.	None - Verbal report of high water during Hurricane Agnes.
ITEM	MONITORING SYSTEMS	MODIFICATION	HIGH POOL RECORDS	POST CONSTRUCTION ENGINEERING STUDIES & REPORTS	PRIOR ACCIDENTS OR FAILURE OF DAM DESCRIPTION, REPORTS-

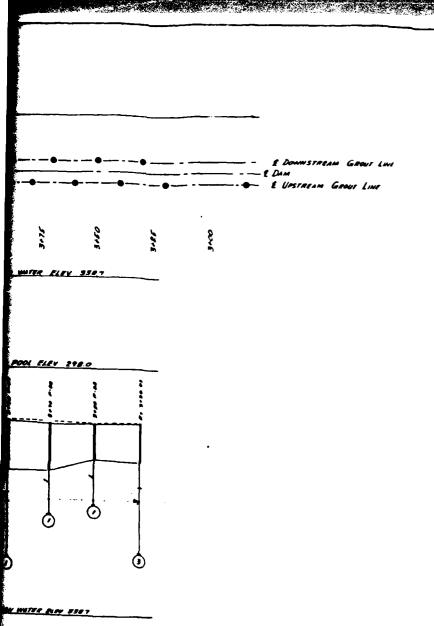
•	IONS RAULICS Included in Design Report as Section III Included in Design Report as Section IV	No written history of maintenance performed, for deficiencies/remedial measures recommende for deficiencies/remedial measures recommende Water Resources Construction Permit 69-0B-000 pesign Report for Upper Rock Creek Watershed 1965 by USDA-SCS Upper Darby, Pa. Included in Design Report as Section IV A. Included in Design Report Included in Design Report Included in Design Report Included in Design Report as Section III Included in Design Report as Section IV A	MAINTENANCE OPERATIRECORDS MISC. DESIGN REPORTS GEOLOGY GEOLOGY HYDROLOGY & HYDRAUI DAM STABILITY
SEEPAGE STUDIES Included in Design Report as Section IV B		cluded in Design Report as Section IV	SEEPAGE STUDIES
ATIONS Included in Design Report YDRAULICS Included in Design Report as Section III Included in Design Report as Section IV		Section IV	GEOLOGY
COMPUTATIONS Included in Design Report Included in Design Report Included in Design Report Included in Design Report as Section III BILITY Included in Design Report as Section IV	Included in Design Report as Section IV	-	DESIGN REPORTS
Design Report for Upper Rock Creek Watershed Site No. 1965 by USDA-SCS Upper Darby, Pa. Included in Design Report as Section IV A. Included in Design Report as Section III Included in Design Report as Section IV A. Included in Design Report as Section IV A. Included in Design Report as Section IV A.	Design Report for Upper Rock Creek Watershed Site No. 1 Dated 1965 by USDA-SCS Upper Darby, Pa. Included in Design Report as Section IV A.	Water Resources Construction Permit 69-0B-0001 dated May	MISC.
Water Resources Construction Permit 69-OB-0001 dated May 18, 1 Design Report for Upper Rock Creek Watershed Site No. 1 Dated 1965 by USDA-SCS Upper Darby, Pa. Included in Design Report as Section IV A. Included in Design Report as Section III Included in Design Report as Section IV A.	Water Resources Construction Permit 69-OB-0001 dated May 18, 1 Design Report for Upper Rock Creek Watershed Site No. 1 Dated 1965 by USDA-SCS Upper Darby, Pa. Included in Design Report as Section IV A.	No written history of maintenance performed, for deficiencies/remedial measures recommende	MAINTENANCE OPERAT. RECORDS
for deficiencies/remedial measures recommended. for deficiencies/remedial measures recommended. Mater Resources Construction Permit 69-OB-0001 dated May 18, 1 Design Report for Upper Rock Creek Watershed Site No. 1 Dated 1965 by USDA-SCS Upper Darby, Pa. Included in Design Report as Section IV A. Included in Design Report as Section III Included in Design Report as Section III Included in Design Report as Section III Included in Design Report as Section IV A.	No written history of maintenance performed, see annual O&M refor deficiencies/remedial measures recommended. Water Resources Construction Permit 69-OB-0001 dated May 18, 1 Design Report for Upper Rock Creek Watershed Site No. 1 Dated 1965 by USDA-SCS Upper Darby, Pa. Included in Design Report as Section IV A.		Mai. I

ITEM	REMARKS
MATERIALS INVESTIGATIONS BORING RECORDS LABORATORY FIELD	Included in Design Report as Section IV B For borings see plan sheets 18-28 Included in Design Report as Section IV B See Engineer Report on Construction dated March 15, 1968 for concrete, compaction and material results.
POST CONSTRUCTION SURVEY OF DAM	See as-built drawings
BORROW SOURCES	See plan sheets 15 and 16.
AS BUILT DRAWINGS	Available
REGIONAL VICINITY MAP	Available

APPENDIX C LOCATION MAP & PLANS







AS BUILT

GROUTING
UPPER ROCK CREEK WATERSHED
MONTGOMERY COUNTY, MARYLAND
MULTIPLE PURPOSE DAM, SITE NO 1
U.S. DEPARTMENT OF AGRICULTS &
SOIL CONSERVATION SER

J V DeGree

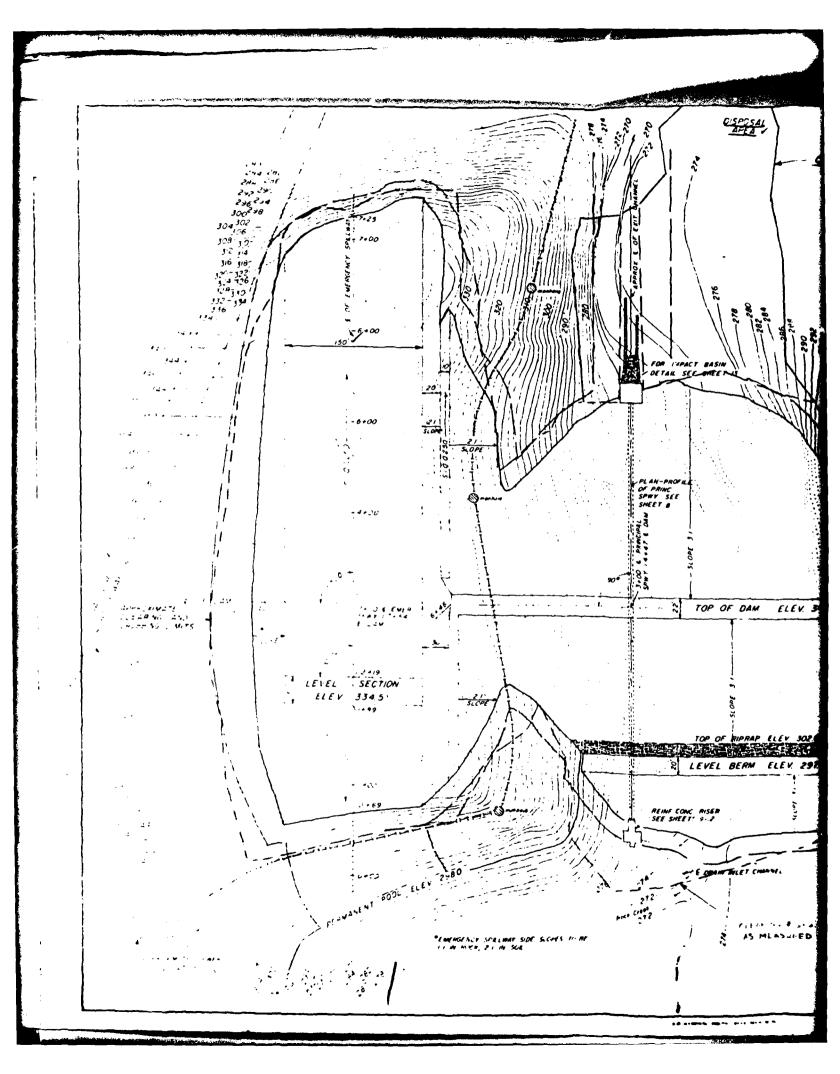
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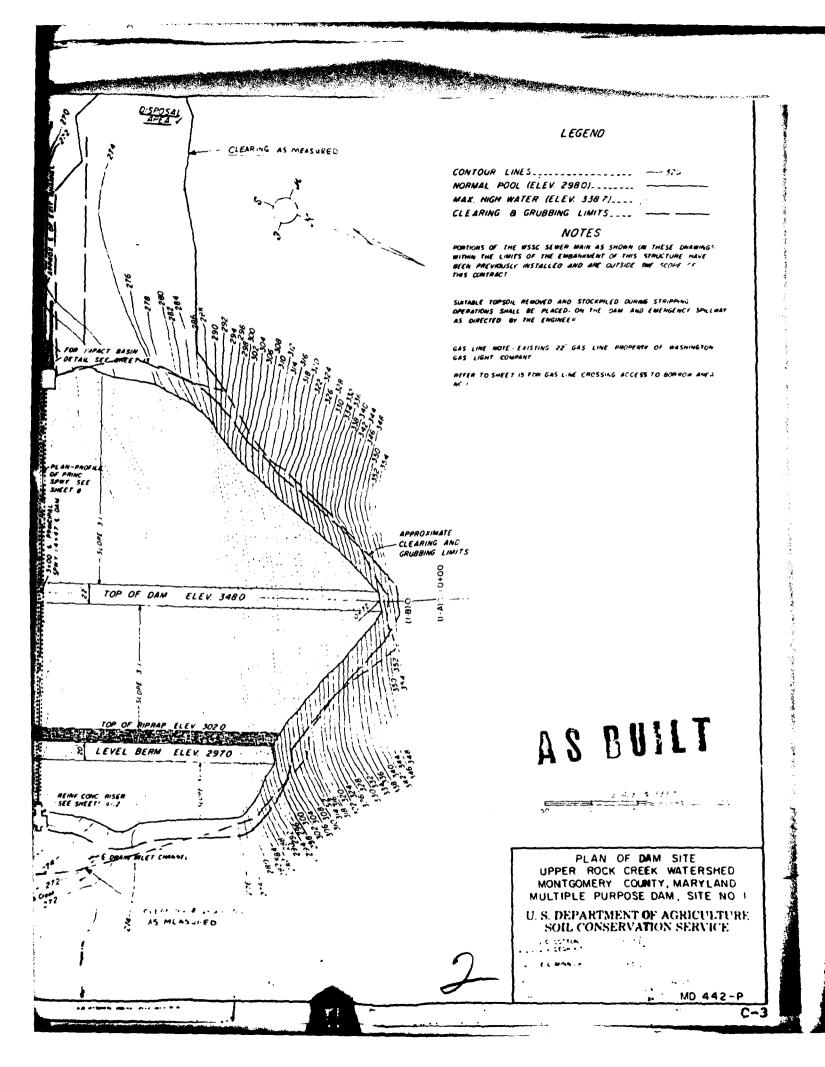
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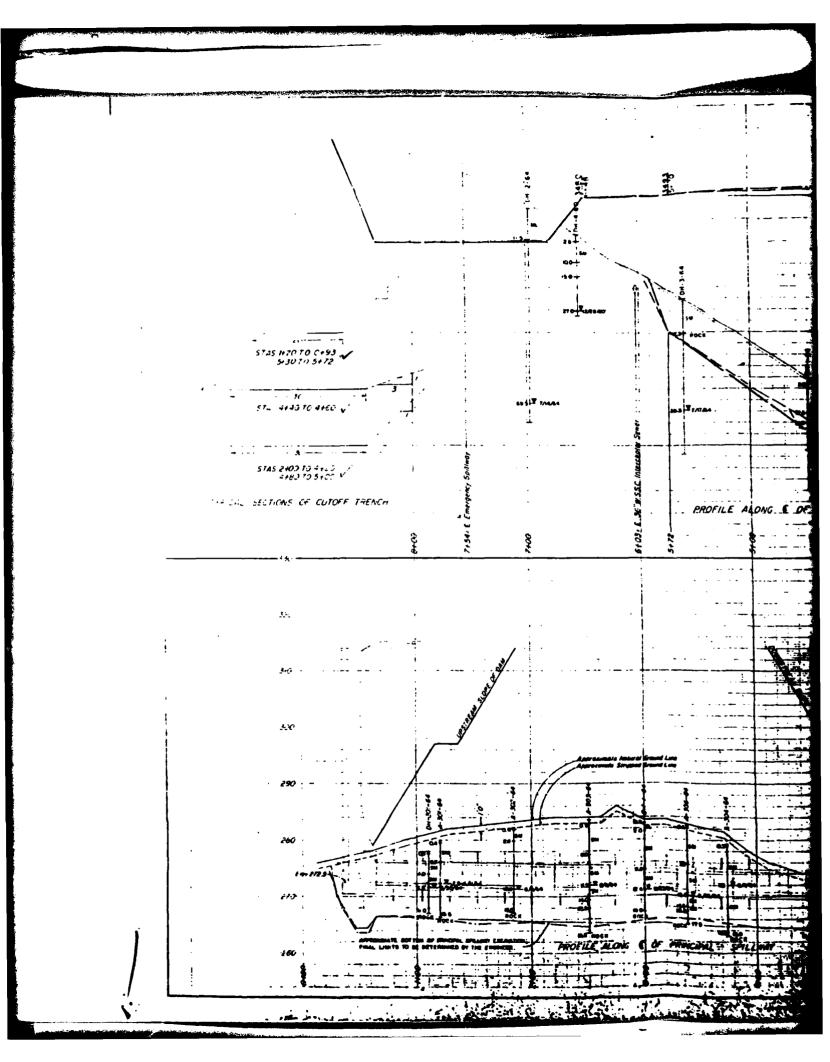
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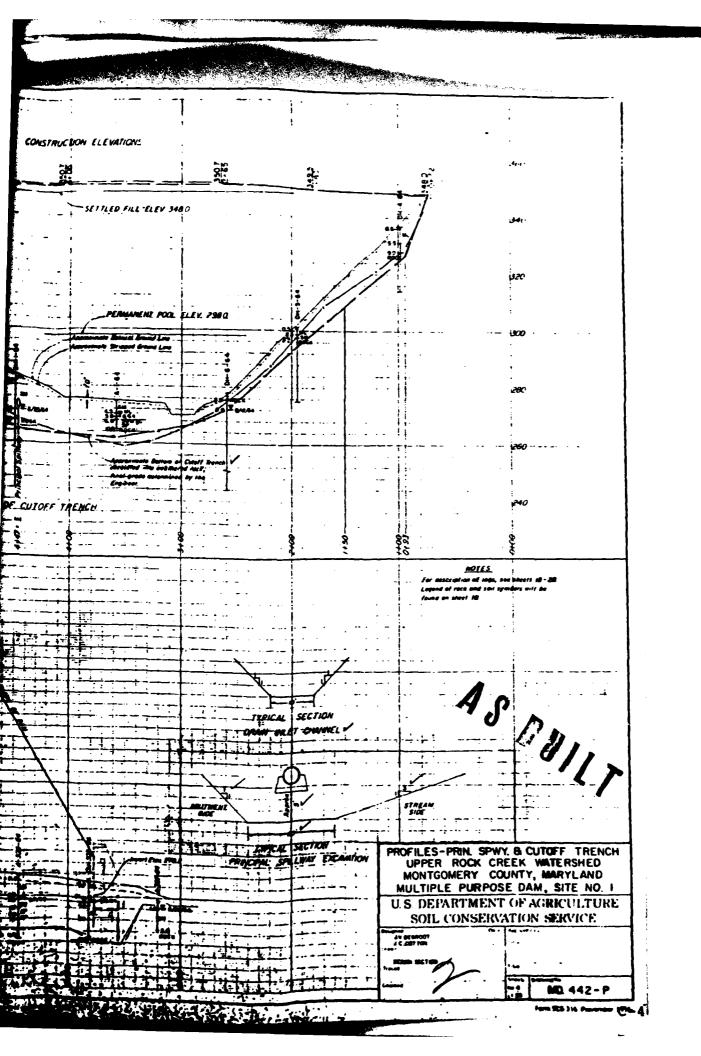
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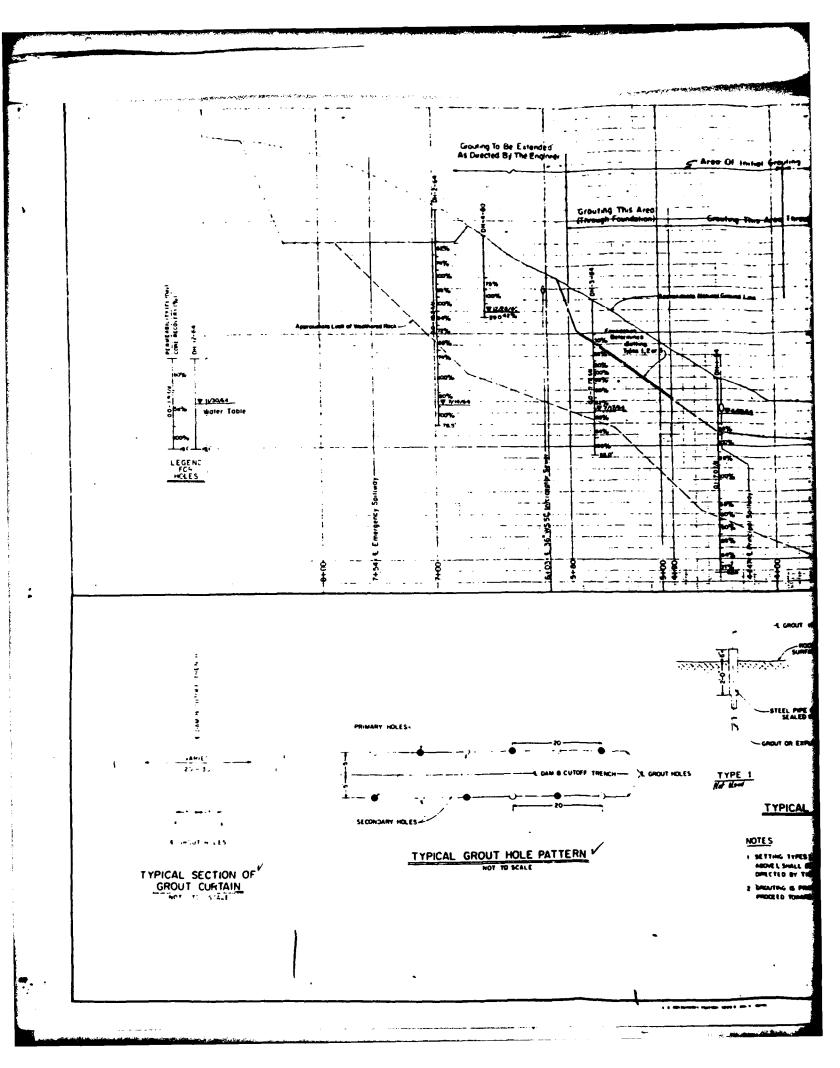
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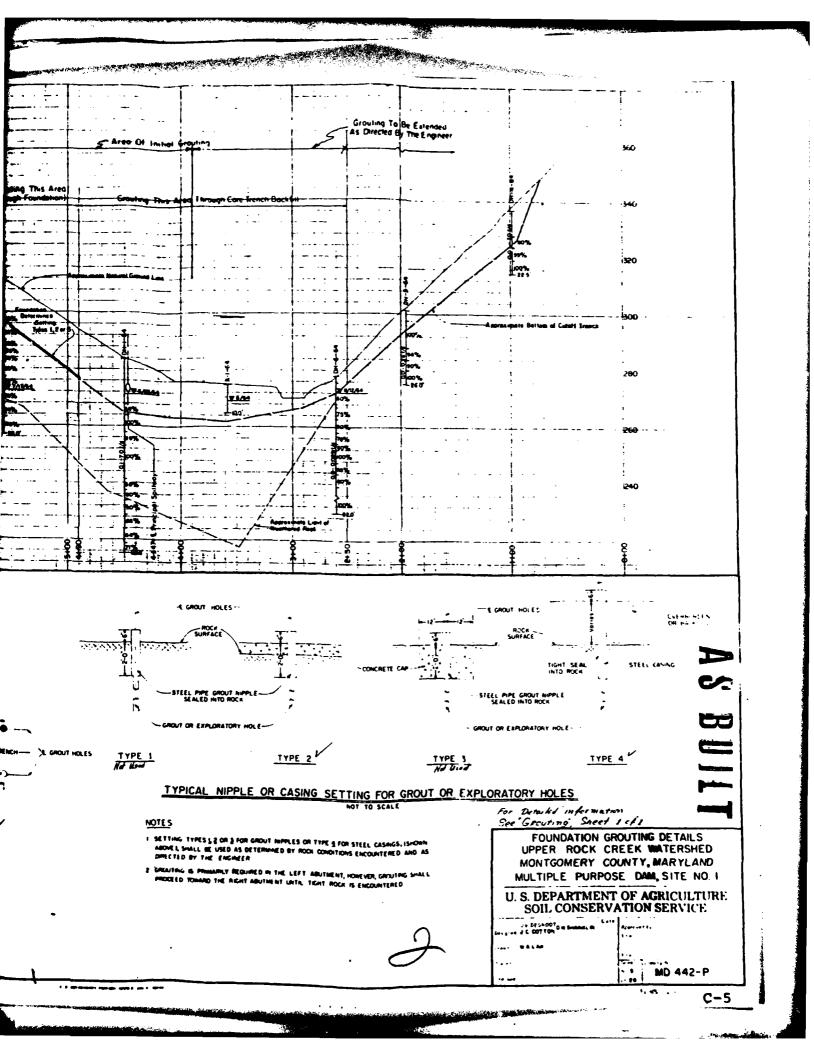












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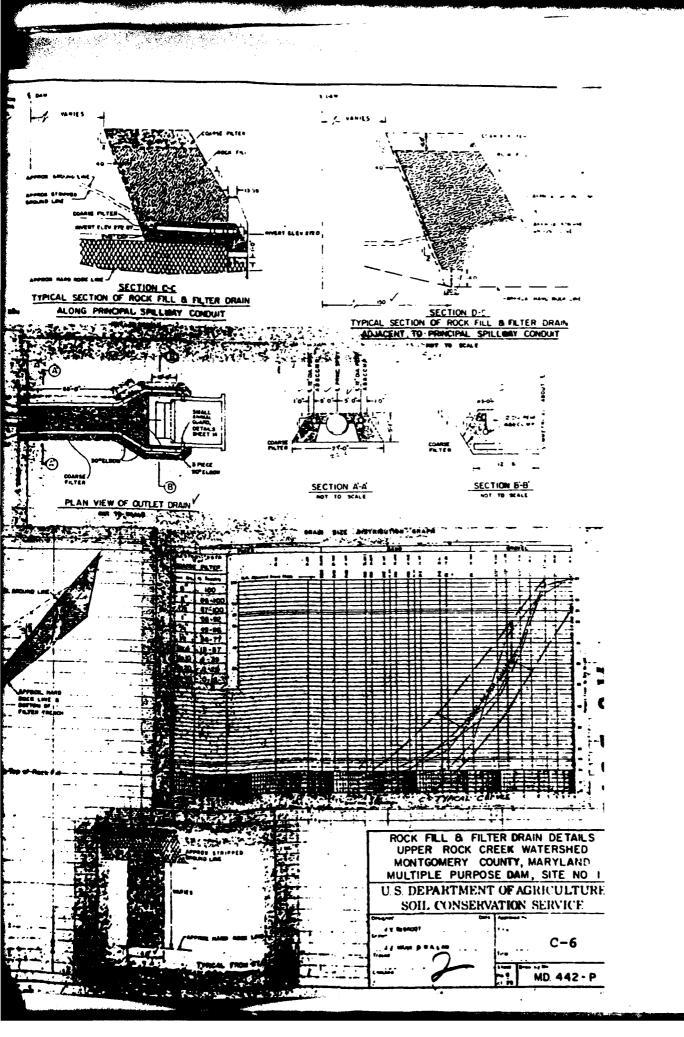
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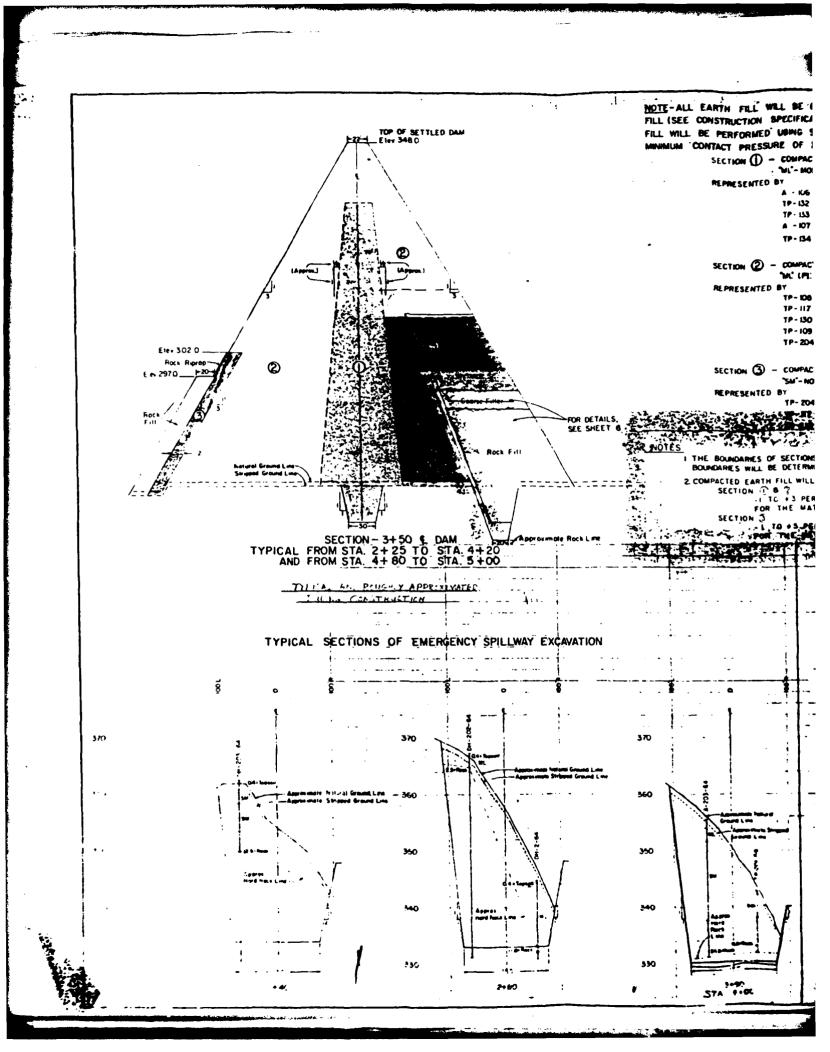
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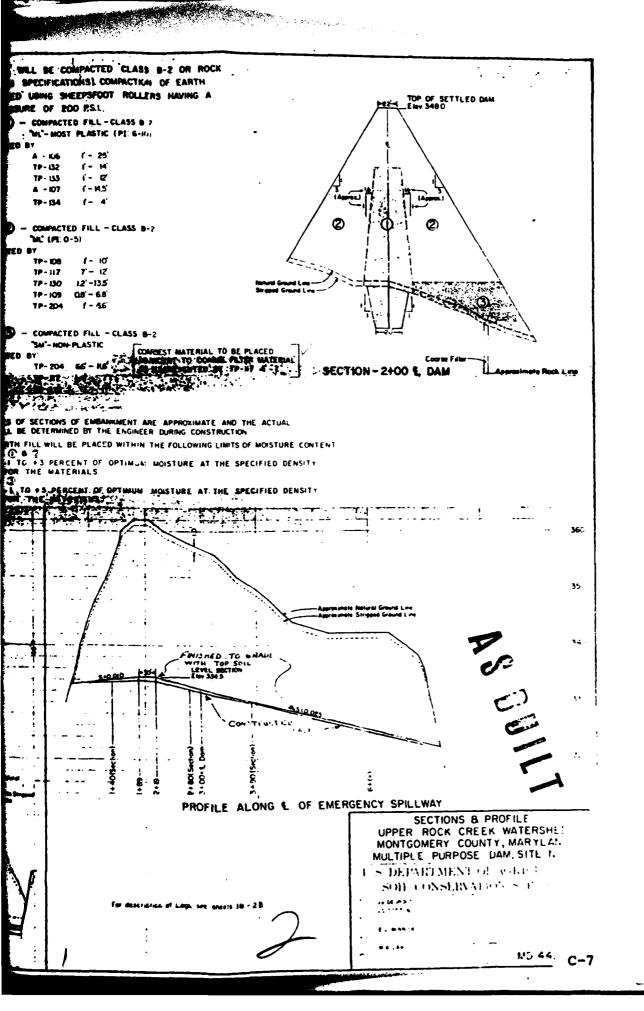
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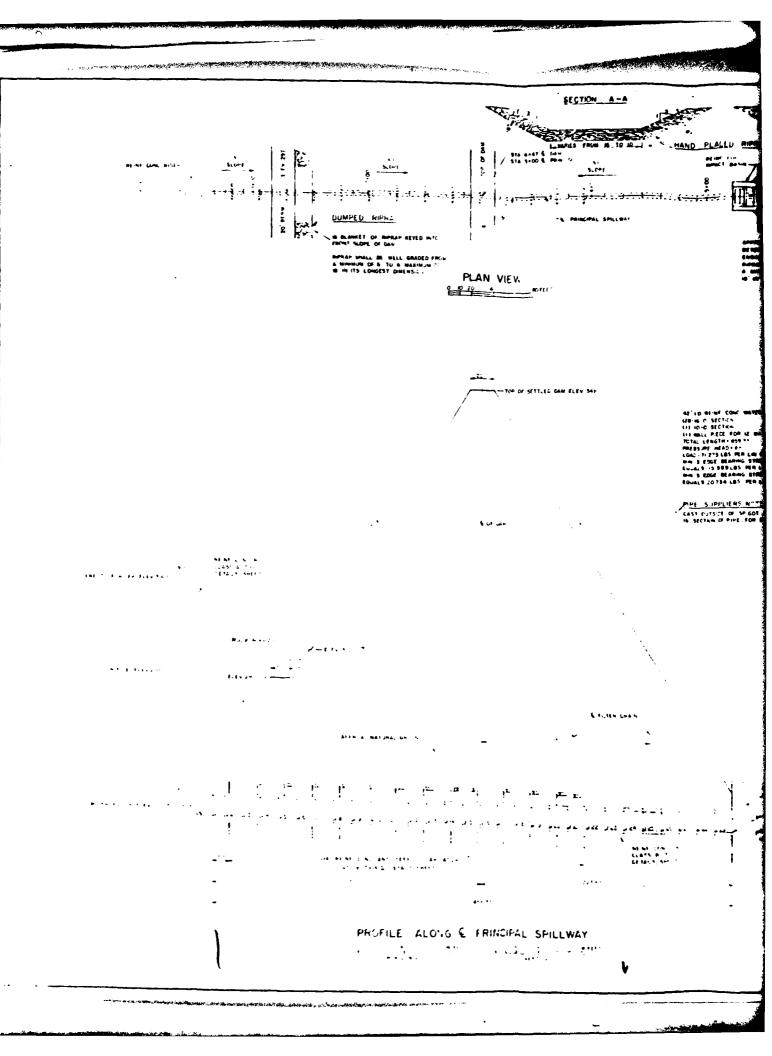
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J mace soo theous PLAN VIEW OF ROCK FILL, & FILTER DRAIN FILTER ! ROCK Approximate Top of 1 JC4 SECTION B-B ** 17 10 1489 4+ 38 70 9+57 *









SECTION B-B

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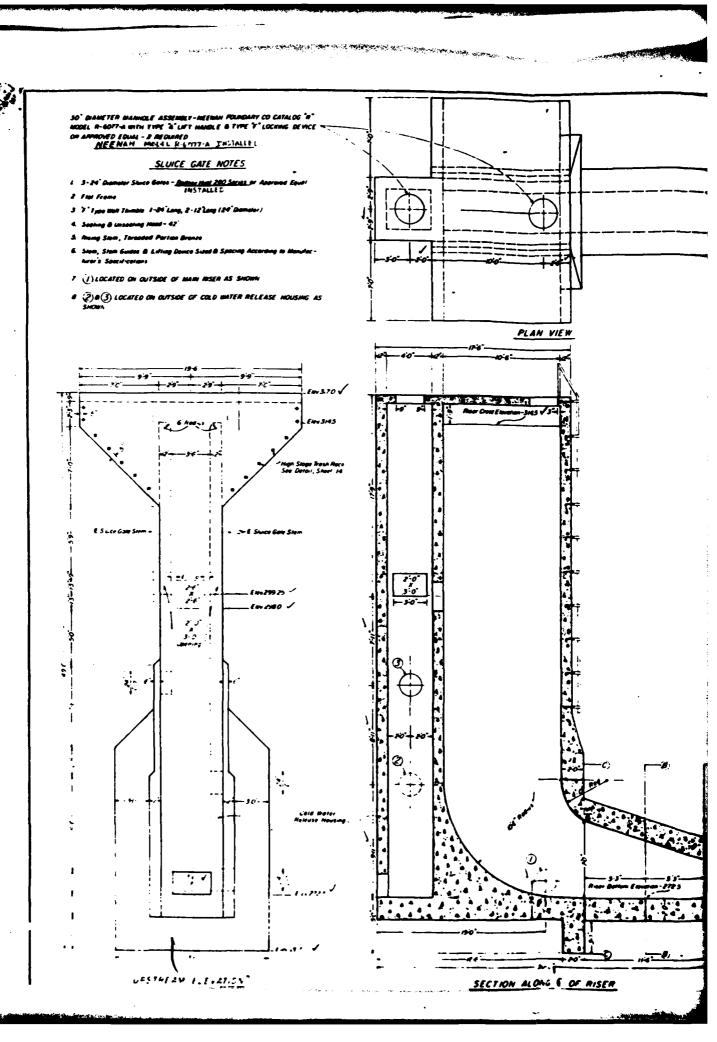
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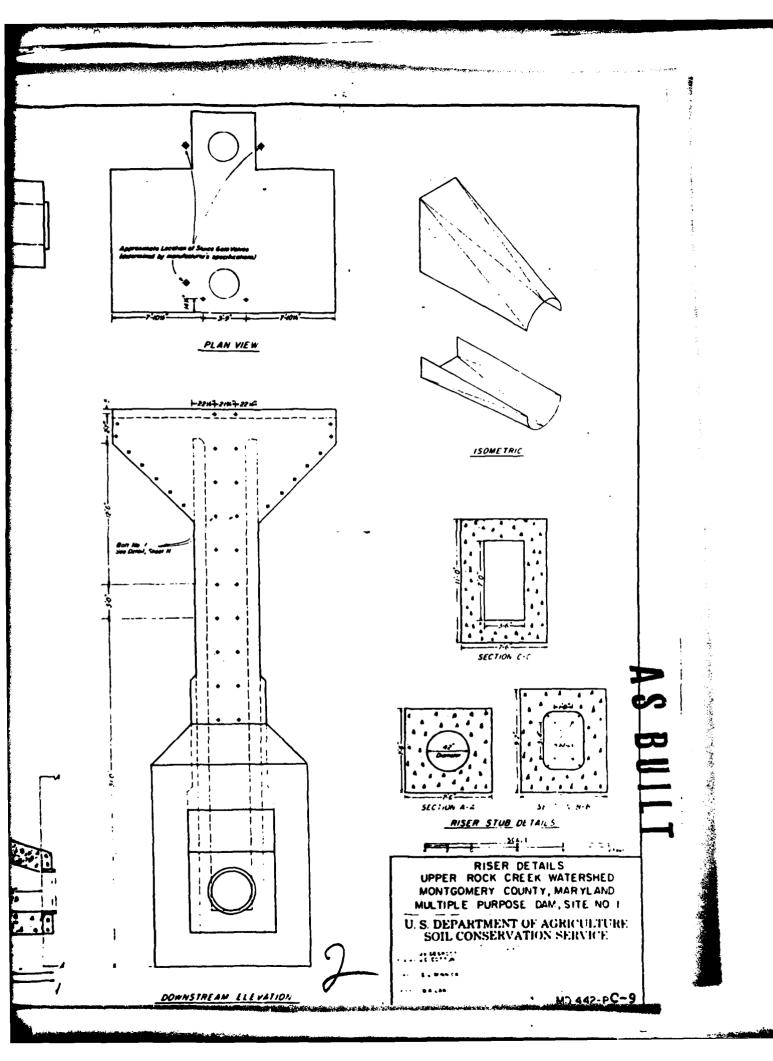
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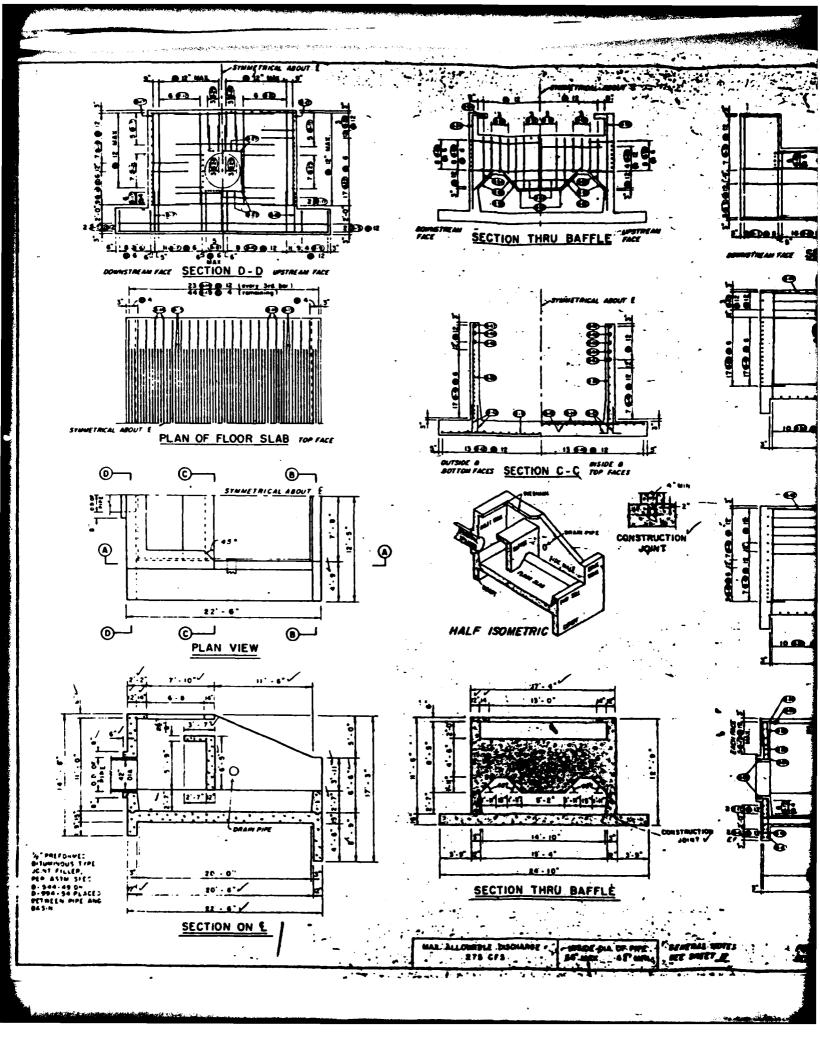
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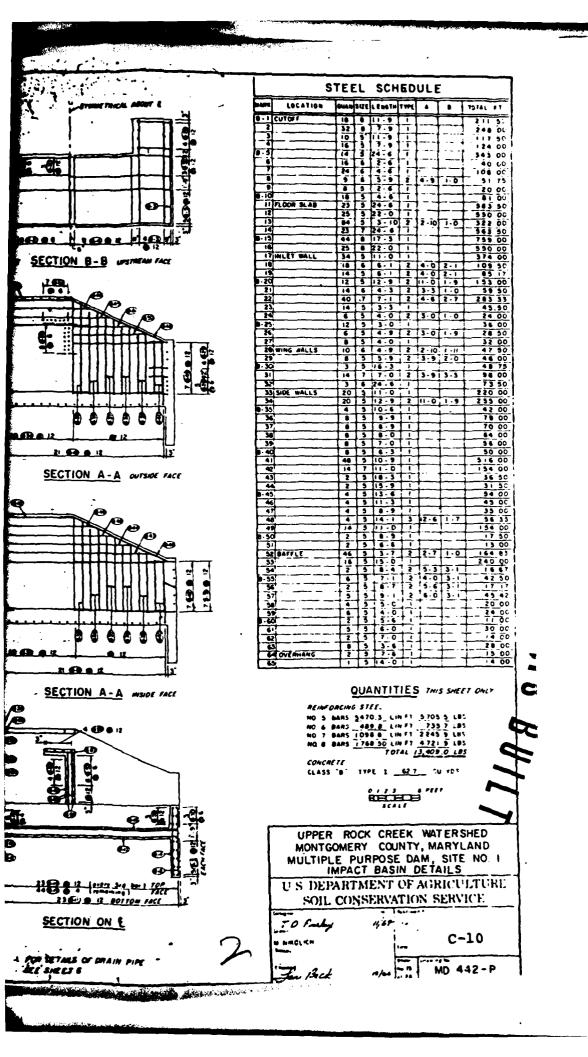
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APPENDIX D

PHOTOGRAPHS



UPSTREAM FACE



DOWNSTREAM FACE



TOP OF DAM



DOWNSTREAM



INTAKE TOWER



IMPACT BASIN

APPENDIX E
ANALYSES

Contents

Sheet E-2 Snyder's Unit Hydrograph
E-3 Data From Design Report Review
E-4 Stage - Storage Data
E-5 Emergency Spillway Rating Curve
E-6 thruE-9 Computer Data

Snyder's Unit Hydrograph

from Baltimore District Data

Zone 33 -> Ct = Plate K

Cp=1.25

use: tp=2.5(LLCA) where Land LCA are in miles

tp=2.5(8.5x3.3)0.3 k.8 hrs.

where! L= 22.53 inches = 8.5 miles

LCA=8.75 inches = 3.3 miles

input: tp = 6.8 and Cp=1.25 into program

W Card to field 1, Co field 2

M Card I field 1, 1 field 2, 12.23 fields 3 and 5, 1 field 9

from Hydromet 33, Arecip. PMP Index = 24.3 inch, Zone Lo read Ru Ria R24 Peard 112% 120% 130%

I Card I field 7, .05 field 8

From Design Report Review

D. A. = 12.23 mi² = 7827 ACRES TC = 5.3 hrs. RCN (AMC II) = 82

Emergency Spillway

width -150 feet

Side slopes - 1:1

level section - 30 feet

exit slope - 2:5 90

entrance slope - 1%; length 180 feet

	Surface Area, A Arith 261	56 185	0861=582+5611	189 PL 284 + 785 = 46.19	717 H380+1164 25214	42812 HO11+0699 PIR	CARDS
age - Storage Data	(MSL) Strage Suct	0,896	314,5	334,5	338.7	347. 9	* =
ts.	Sediment Pool	Recreation/Normal Pool	Riser Crest	Emerg. Spillway (rest	Design High Water	Top of Dam	

** From Page 10 and 11, Steets 4 and 5 Section II of Design Report #114 15 Cum. Volume @ 10 day drawdown elev, 304.1

Emergency Spillway Rating Curve

<u>Stage</u>	Discharge (page 29, sheet 23 section III of Design Report)
334.5	0
336.0	500
338.0	2300
340.0	5200
344.0	13,300
348.0 1	24,600
Y4 card	Y5 Card

from Emergency Spillway Rating Curve-Discharge etop of dam elev. 347.9 = 24,300 cfs. neglecting = 290 cfs. thru riser(principal spillway)

S.C.S. Freeboard Hydrograph 2.5x b hr. pt. rainfall = 32 inches

Areal Ruinfall = 27. 12 inches

Rak Inflow = 30,500 cfs

Emergency Spillway in value design = 0.40 Resulting Hydrograph is routed through the structure with the starting elevation of 304.1 which represents a 10 day drawdown time from the max. elevation attained by the 100 yr. freq. 6 hr. design storm.

The resulting outflow peak is 21,400 cfs.

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APPENDIX F
GEOLOGY REPORT

GEOLOGY REPORT

UPPER ROCK CREEK WATERSHED SITE NO. 1 (LAKE BERNARD FRANK DAM)

The Engineer's Design Report prepared for this project contains a geology report which is considered adequate for this Phase I study. Appropriate excerpts from the report are included herein. It should be noted that regional geologic studies have been performed since the preparation of the design report and the Sykesville Formation is presently mapped as Boulder Gneiss within the Wissahickon Formation.

The Engineer's Design Report states "The site is located in the Fall Line, the natural boundary separating the Piedmont physiographic province and the Coastal Plain province. The area has moderately steep sided valleys with about 100 feet of relief.

The entire area of the dam and reservoir site is underlain by the Sykesville Formation. The age of the Sykesville Formation is uncertain. The Sykesville Formation is a granitic appearing schistose rock containing numerous inclusions, quartz pebbles and stringers, and garnet. The rock in unweathered condition is hard, dense and has a medium gray-blue color. The weathered rocks have shades of brown and gray-brown colors.

Strike and dip readings taken on outcrops indicate the schistosity has an average dip about 80° northwest and strikes about north 5 degrees east. The dip varied between 65 and 85 degrees and strike varied between north 5° west and north 10° east. There is no unusual variation in strike and dip to indicate any major degree of movement anywhere in the foundation area.

The Sykesville Formation has a gradational contact with the Wissahickon Formation, albite-chlorite faces to the west. The dam site is located near the contact and is probably in what is considered the transitional zone.

Rock on the south side of the creek (left abutment facing down-stream) is highly weathered and fractured. Rock is fractured to depths up to 60 feet. Outcrops on the south side of the creek downstream from the dam are generally soft and highly weathered. Rock exposed by test pits in borrow area south of the creek have indications of some slight movement. There is no way to measure the movement.

Rock north of the creek (right abutment) is less weathered and fractured. Weathering and fracturing generally was less than 10 feet. Core recovery in drill holes on the right abutment generally ran over 90%. Outcrops on the north side of the stream are hard and nearly unweathered.

The soils overlying bedrock on the slopes, in the project area and hill tops, are derived from weathering of the bedrock. The materials on the left abutment and in borrow areas 1 and 2 vary in thickness from 6 to more than 30 feet. These materials consist mainly of fine sand and silt. Clay is present in small amounts. Soft gravel size fragments of weathered schist are present and become more prevalent with depth. The right side of the creek in the foundation area has 4 feet or less of weathered material similar to that found on the left abutment.

The valley bottom is filled with a layer of alluvial material about 6 to 10 feet thick. The material is mainly fine sand, silt and some clay. There are scattered lenses of gravel with some cobble and boulders. The alluvium lies on soft disintegrated schist 2 to 3 feet thick."

Wlps - Lower Pelitic Schist Pzn-Norbec Quartz Wups-Upper Pelitic Schist Wbg-Boulder Geneiss





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